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By Authority of the
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This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

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INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Shih-Ping		Wang		Los Altos, California	
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
THICK-SLICE DISPLAY OF MEDICAL IMAGES					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number _____ OR Type Customer Number here		<div style="border: 1px solid black; padding: 5px; text-align: center;"> Place Customer Number Bar Code Label here </div>			
<input checked="" type="checkbox"/> Firm or Individual Name		Brian J. Daiuto, Esq.			
Address		886 ILIMA COURT			
Address					
City		Palo Alto	State	California	ZIP 94306
Country		USA	Telephone	650-464-8722	Fax 815-364-3923
ENCLOSED APPLICATION PARTS (check all that apply)					
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<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE AMOUNT (\$) <div style="border: 1px solid black; padding: 5px; font-weight: bold;">\$80.00</div>	
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees (Check #196)					
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<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
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Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME Brian J. Daiuto, Esq.

TELEPHONE 650-464-8722

Date 11/29/2002

REGISTRATION NO.
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Docket Number:

38,422

W002

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Shih-Ping Wang
Serial No. : Group Art Unit:
Date Filed : Concurrently Herewith Examiner:
For : THICK-SLICE DISPLAY OF MEDICAL IMAGES


886 Ilima Court
Palo Alto CA 94306

Assistant Commissioner for Patents
Box Provisional Application
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I hereby certify that the above-identified provisional application consisting of a 7-page specification and 3 sheets of informal drawings (Figs. 1-3), Provisional Application Cover Sheet and a check for the \$80 small entity filing fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.


Brian J. Daiuto, Reg. No. 38,422
Attorney for Applicant

Law Office of Brian J. Daiuto
886 Ilima Court
Palo Alto CA 94306
Tel: 650-464-8722

THICK-SLICE DISPLAY OF MEDICAL IMAGES

Shih-Ping Wang

5

FIELD

The present specification relates to medical imaging systems. More particularly, the present specification relates to a method for presenting three-dimensional volumetric imaging data to a medical professional in a manner that promotes screening and/or
10 diagnostic efficiency and, for three-dimensional imaging modalities involving x-ray radiation, reduces radiation exposure risks.

BACKGROUND

Magnetic resonance imaging (MRI) and computerized tomography (CT) imaging
15 modalities are well-known to the medical community and have become established tools for imaging the head and the abdomen for diagnostic purposes. However, the MRI and CT imaging modalities have not been widely adopted for regular screening purposes, *i.e.*, for regularly seeking out abnormalities that may be developing inside a patient prior to the development of symptoms.

20 One example of a regular screening process currently in use in the United States today is x-ray mammography, with regular yearly x-ray mammograms being recommended for women over 40. Radiologists have developed years of experience and expertise in analyzing two-dimensional x-ray mammograms for the early detection of breast cancer. Unfortunately, a substantial percentage of breast cancers still go undetected
25 in today's two-dimensional x-ray mammography screening environment, the undetected cancerous lesions continuing to develop until symptoms are felt, by which time it is sometimes too late to stop the spread of the disease.

It is believed that breast cancer screening results could be substantially improved by using a three-dimensional imaging modality, such as MRI or CT, in distinction to
30 conventional two-dimensional x-ray mammography. It is further believed that a number of other abnormalities, such as lung cancers, brain tumors, abnormal heart/artery structures/blockages, thyroid growths, etc., could be detected early enough for effective

treatment if a screening program using such three-dimensional imaging modalities were effectively implemented. For simplicity and clarity of explanation herein, the term lesion shall be used to generically denote a physical mass or growth associated with any of the above diseases or other conditions, it being appreciated that each particular disease or
5 condition will have different terminology identifying its related masses, growths, and/or abnormal structures.

Cost is one of the primary obstacles to implementing such a thorough three-dimensional screening process using MRI or CT, although it is believed that the costs of CT scanning will ultimately decline to a point where cost is not a substantial barrier.
10 Without loss of generality, the discussion and examples herein will deal with CT technology, it being understood that the preferred embodiments described herein are applicable to any three-dimensional imaging modality such as MRI, PET, SPECT, ultrasound, and other three-dimensional modalities.

An obstacle to implementing a thorough three-dimensional screening process,
15 which is related to cost but also affects the sensitivity and specificity of the screening process, is the extensive time needed for the radiologist or other medical professional to analyze the volumes of data provided by the CT system (or other three-dimensional imaging system). Today's CT systems, which can achieve up to 1 mm or better resolution, can provide in the range of 100-1000 planar images or slices for a single chest CT, and in
20 the range of 50-500 slices for a breast CT or a head CT. For chest and head CTs, these slices are axial slices, *i.e.*, perpendicular to a head-to-toe axis of the patient. Whereas a radiologist would have previously reviewed only a single 17" x 14" PA chest x-ray and associated lateral view, the radiologist would instead be presented with 100-1000 axial
25 slices. For breast CTs, these slices would be parallel to the chest wall or coronal plane of the patient. This would represent an enormous amount of information to be reviewed by a radiologist, even if computer-aided diagnosis (CAD) markers were present on some of the slices to assist in locating suspicious lesions.

Moreover, most of the physicians and radiologists screening the data would likely not be familiar with the axial views of the chest and abdomen, or with breast slices parallel
30 to the chest wall. This is because the physicians and radiologists will likely have been trained using standard x-ray views of the different portions of the anatomy. For the chest

and abdomen, the standard x-ray views include the posterior-anterior (PA) x-ray view and the lateral x-ray view. For the head and neck, the standard x-ray views include the anterior-posterior (AP) x-ray view and the lateral x-ray view. For the breast, the standard x-ray views include the mediolateral oblique (MLO) and craniocaudal (CC) views. The
5 physicians have developed an extensive knowledge base and experience base with these standard x-ray views that allows them to differentiate suspicious lesions from surrounding normal tissues even when the visual cues are very subtle and when the image would otherwise look "normal" to the untrained or less-trained eye. The extension of this experience and expertise would likely not carry over well to axial viewing planes.

10 Another obstacle to the use of CT in a regular screening program is the accumulated exposure to x-ray radiation that would build up in a single patient over the years of screening. Generally speaking, conventional CT radiation doses are usually at least an order of magnitude higher than the radiation doses associated with traditional two-dimensional x-ray images. By way of example, a traditional two-dimensional lateral or AP
15 x-ray view of the head requires a dose of roughly 1-2 mGy, whereas a conventional head CT can incur a radiation dose of roughly 30-60mGy. Thus, using conventional CT radiation doses designed to maximize spatial and contrast resolution in the imaged plane, e.g., to 1 mm or less, a given patient would quickly reach a lifetime radiation limit beyond which an unreasonable risk of radiation-caused cancer would outweigh the benefits of any
20 early anomaly detection provided by the screening process.

Yet another problem related to x-ray dosage in CT scans is the heat load to the CT x-ray tube. Conventional CT radiation dosage requirements cause the CT x-ray tube to heat up substantially during a single CT scan. The associated recovery time between patients limits overall system throughput to an extent that would be disadvantageous in an
25 *en masse* screening environment.

Accordingly, it would be desirable to provide a method for processing and displaying three-dimensional medical imaging data in a manner amenable to a standardized screening process, analogous to today's x-ray mammography screening process, for lesions associated with a variety of different diseases affecting a variety of different body parts or
30 organs.

It would be further desirable, in the context of CT imaging, to provide such a medical screening method that reduces radiation risks for the patient.

It would be still further desirable to provide such a three-dimensional medical image processing and display method that could also be readily used for survey and/or
5 diagnostic purposes in certain high-risk or symptomatic patients.

DESCRIPTION

According to a preferred embodiment, a method for processing and displaying three-dimensional medical imaging data of a subject anatomical volume is provided in
10 which a plurality of thick-slice images is computed and displayed, each thick-slice image corresponding to a thick-slice or slab-like region of the anatomical volume substantially parallel to a standard x-ray view plane for that anatomical volume. In one preferred embodiment, the anatomical volume is the head and neck region of the patient, and the standard x-ray view plane is the AP and/or lateral view. In another preferred embodiment,
15 the anatomical volume is the chest region, and the standard x-ray view is the PA view and/or the lateral view. In another preferred embodiment, the anatomical volume is the breast, and the standard x-ray view is the CC view and/or the MLO view.

FIGS. 1-3 illustrate conceptual examples of anatomical subvolumes, slab-like regions, and displays of thick-slice images according to the preferred embodiments for
20 different body portions and different standard x-ray views. According to a preferred embodiment, the slab-like regions corresponding to the thick-slice images are approximately 1 cm thick for head, chest/abdominal, and breast regions. However, a variety of other thicknesses are within the scope of the preferred embodiments. By way of example and not by way of limitation, in other preferred embodiments the slab-like regions
25 corresponding to the thick-slice images may be in the range of 0.5-2 cm thick for the head and neck regions, 1-3 cm thick for the chest and abdomen regions, and 0.5-2 cm thick for the breast. Accordingly, the number of thick-slice images for a given anatomical volume will usually be in the range of 4-20 thick-slice images. Advantageously, this is a substantial reduction from the conventional displays associated with the conventional
30 native three-dimensional imaging modes discussed above. Furthermore, because they correspond to slab-like volumes substantially parallel to standard x-ray views, the thick-

slice images are of immediate and familiar significance to the radiologist. In another preferred embodiment, the slab-like regions have a thickness that is about twice the average size of the suspicious lesions sought, *e.g.*, for detecting 0.6 cm lesions on average the slab-like regions would have a thickness of about 1.2 cm.

- 5 In one preferred embodiment, the thick-slice images correspond to slab-like regions that collectively occupy the entire anatomical volume. The plurality of images is displayed simultaneously, thereby providing a single view of the entire anatomical volume. Preferably, an interactive user display is provided that allows quick and easy navigation to, from, and among individual slices of interest. Optionally, the user display provides for
- 10 quick selection and display of a planar image, the planar image corresponding to readings along a single plane cutting through the anatomical volume at a selected location and orientation. In one preferred embodiment, the single plane cuts through the anatomical volume along a plane perpendicular to the orientation of the slab-like regions corresponding to the thick-slice images. Notably, the thick-slice images do not replace the
- 15 native imaging modality, but rather augment it. Where necessary, the radiologist may indeed access particular axial slices at their full resolution to arrive at a conclusive screening result.

- Once a three-dimensional volumetric representation of the anatomical subvolume is obtained, such as by "stacking" the tomographic slices obtained from the raw CT scans,
- 20 the thick-slice images can be computed from the three-dimensional volume using any of a variety of methods. In a simplest method, an average of voxel values along a voxel column corresponding to a particular output thick-slice image pixel is computed. Other techniques for integrating the voxel values into an output thick-slice image pixel include geometric averaging, reciprocal averaging, exponential averaging, and other averaging
- 25 methods, in each case including both weighted and unweighted averaging techniques. Other suitable integration methods may be based on statistical properties of the population of the voxels in the voxel column, such as maximum value, minimum value, mean, variance, or other statistical algorithms.

- According to another preferred embodiment in which the particular three-
- 30 dimensional imaging mode is CT, the raw CT data is acquired at a substantially reduced radiation level as compared to the conventional CT radiation dose. Although each

individual voxel in the three-dimensional representation will have a reduced signal-to-noise ratio and individual thin-slices will be noisier and have less resolution as compared to the conventional case, the process of accumulating/compounding individual slices into the thick-slice images in accordance with the preferred embodiments has the advantageous effect of smoothing out the noise while preserving structures on the order of the lesions of interest, *e.g.*, on the order of 0.5 cm or greater. Stated another way, the thick-slice images do not "need" each voxel or thin-slice plane to have high 1-mm resolution and high SNR, because it is the larger structures over a slab-like region that are of more interest anyway. Advantageously, because of the substantially reduced radiation dose, a given patient will not accumulate dangerous x-ray radiation levels even if the screening procedure is repeated once every year or couple of years. Also, system throughput problems related to CT x-ray tube heat loads are substantially reduced or obviated altogether. In one preferred embodiment, for a breast cancer screening environment, the breast CT dosage is lowered to an amount that roughly corresponds to the dosages used in today's conventional x-ray mammogram screening environments.

According to another preferred embodiment, different gradations of x-ray radiation doses are progressively associated with a hierarchy of medical investigation levels. For a lowest level of suspicion, *i.e.*, for general *en masse* screening of a population of asymptomatic patients, a lowest level of x-ray radiation is used in the CT scans. For an intermediate level of suspicion, *e.g.*, for a particular at-risk patient or a patient having very mild symptoms, an intermediate level of x-ray radiation is used. For a high-level of suspicion, *e.g.*, for a symptomatic patient, a high or conventional amount of x-ray radiation is used. Corresponding to the hierarchy, of course, is the resolution and SNR of the thick-slice images obtained, low-suspicion situations calling for coarser review and higher-suspicion cases calling for finer and more careful review.

In one preferred embodiment, a method for CT-based screening for breast cancer is provided in which low-risk patients such as women under 40 are imaged with the lowest doses of x-ray radiation. For women 40-50, the dosage (and resolution/SNR of the thick-slice images) is increased. For women over 50 and/or having a history of breast cancer in their families, an even higher CT x-ray radiation dose is used, although the amount is still substantially less than for conventional diagnostic CT imaging.

According to another preferred embodiment, CAD algorithms are performed using the thick-slice images as starting points. This can substantially simplify the computations required in CAD algorithms. In one example, the CAD algorithms comprise simple two-dimensional mass detection algorithms designed to detect, for example, lesions on the order of 0.5 cm. If no lesions are found in a given thick-slice image having a suspiciousness metric greater than a certain predetermined amount, *e.g.* 30%, the algorithm can proceed onto the next thick-slice image without further processing of the slab-like subvolume. However, if a lesion is found having a suspiciousness metric greater than that predetermined amount, three-dimensional volumetric CAD algorithms are invoked on the slab-like subvolume of data. In another, simpler preferred embodiment, the CAD algorithm only performs two-dimensional mass detection algorithms and displays the results, if any, and the radiologist decides what action to take, if any, upon further review.

In an alternative preferred embodiment, the slab-like regions are parallel to a native view of the three-dimensional imaging modality, for example, the axial view in the case of a CT image. In this preferred embodiment in which CT is used, the benefits of reduced-exposure CT scanning are still provided for the patient, and a reduced amount of processing is required because there are no reprojections required. Furthermore, although the less-familiar axial view has to be analyzed, there are fewer images to analyze.

Whereas many alterations and modifications of the present invention will no doubt become apparent to a person skilled in the art after having read the foregoing description, it is to be understood that the particular embodiments shown and described by way of illustration are in no way intended to be considered limiting. By way of example, while one or more preferred embodiments is described *supra* in the context of a screening process, it is to be appreciated that the disclosed thick-slice methods can be readily used for diagnostic purposes on symptomatic patients as well. Therefore, reference to the details of the preferred embodiments are not intended to limit their scope.

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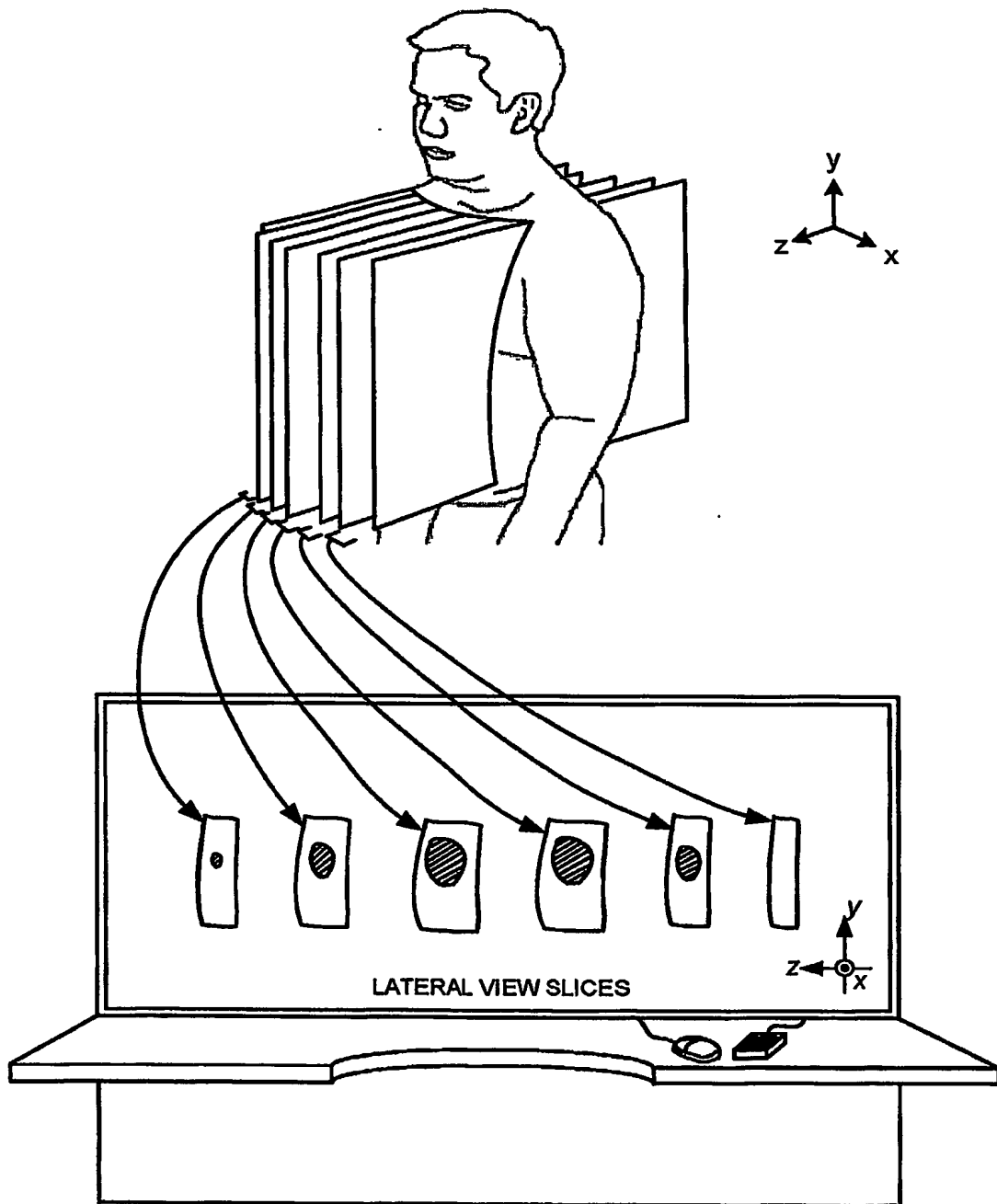


FIG. 1

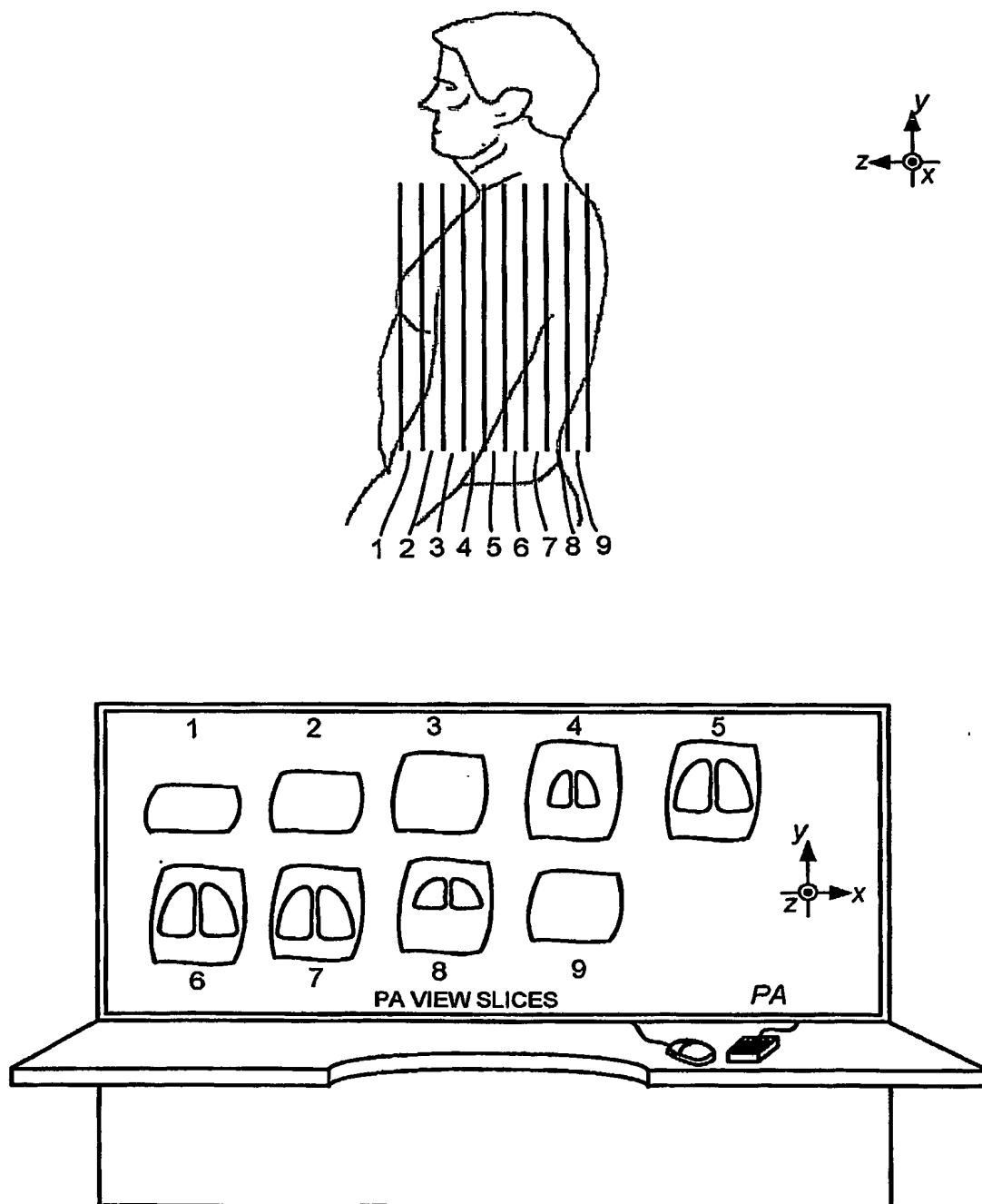


FIG. 2

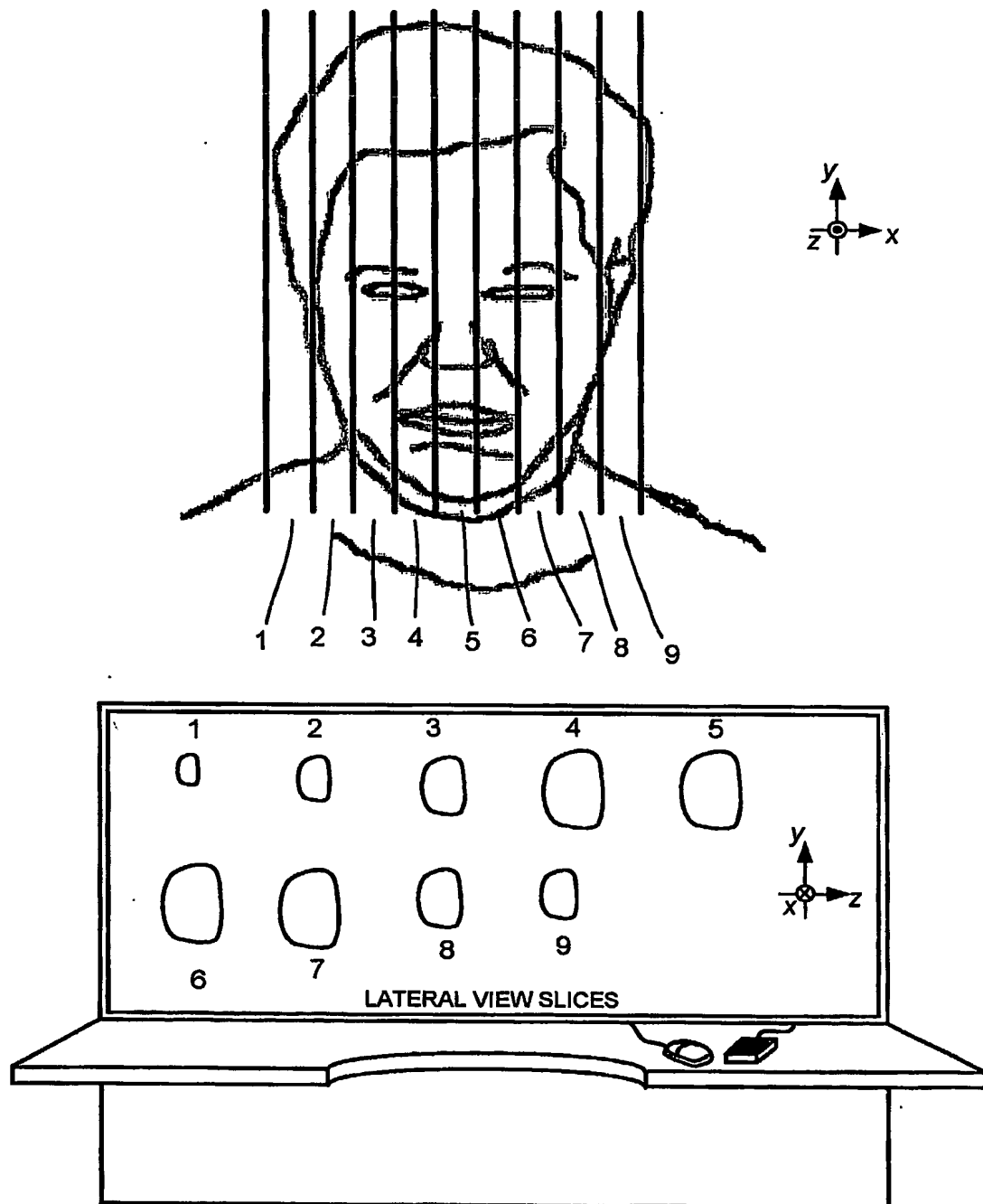


FIG. 3